

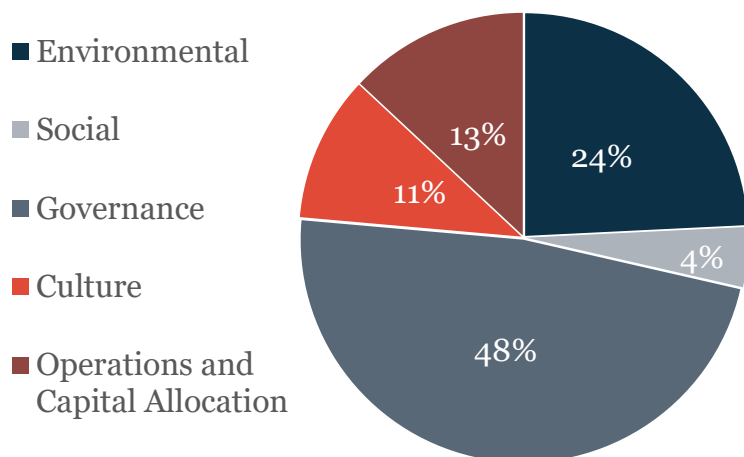
# Mobius

— INVESTMENT TRUST —

## Q3 2022 ESG+C<sup>®</sup> Factsheet

Integrating environmental, social, and governance (ESG) factors into the investment process has become common over the years, but a crucial and missing component within this is corporate culture. Whilst there is strong evidence showing that ESG leaders outperform their peers, we believe that companies with strong corporate cultures provide an additional driver of outperformance in the long term. This factsheet captures the state of the Mobius Investment Trust's portfolio at the end of Q3 2022 across four dimensions: 1. environmental, 2. social, 3. governance, and 4. culture.

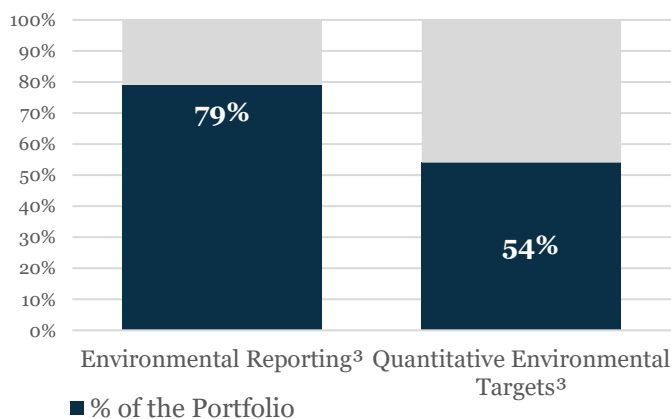
### Engagement Breakdown<sup>1</sup>



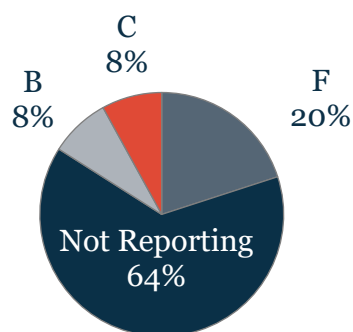
### Portfolio Highlights

In Q3 2022, we continued to actively engage with our portfolio companies through the use of video conferencing and in-person meetings. The Portfolio Highlights section below displays some of the progress observed during the quarter.

### Environmental<sup>2</sup>



### Carbon Disclosure Project Portfolio Company Scores<sup>4</sup>



### Portfolio Highlights

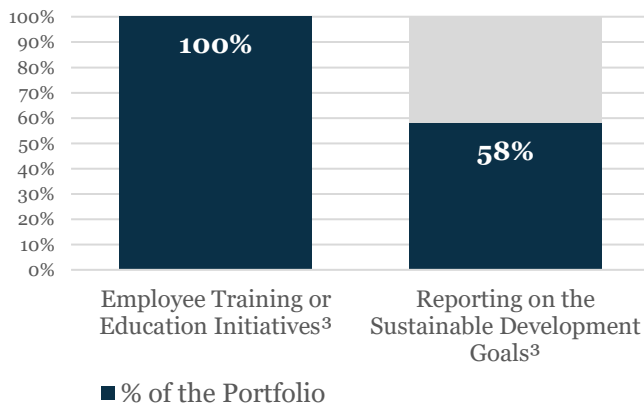
- Three portfolio companies submitted data to the CDP project for the first time.
- E Ink's ePaper module was verified by the British Standards Institution (BSI) as being ISO 14067:2018 compliant. This verification focusses on GHG emissions during the material receiving and manufacturing stages and throughout the life cycle of the product.

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## Q3 2022 ESG+C<sup>®</sup> Factsheet

### Social<sup>2</sup>



### Top 3 reported Sustainable Development Goals (SDG)<sup>5</sup>



#### SDG Goals

4 Quality Education

8 Decent Work & Economic Growth

9 Industry, Innovation and Infrastructure

#### Contribution

10 out of 13

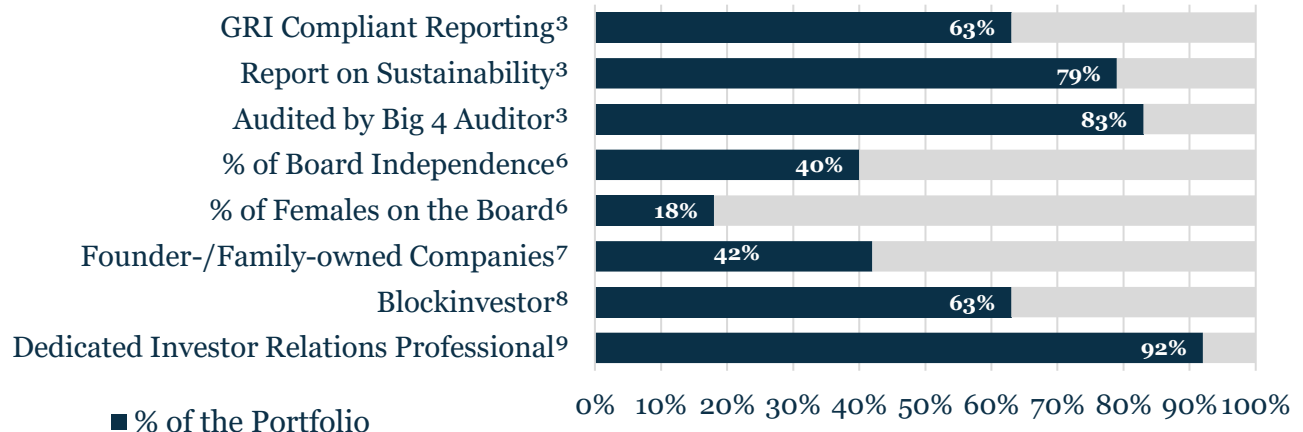
9 out of 13

8 out of 13

### Portfolio Highlights

- SINBON won the Silver Award in the 2022 Taiwan Corporate Sustainability Awards.
- Safaricom has partnered with the National Council of Persons with Disabilities (NCPWD) to connect persons with disabilities with job opportunities through an online portal.

### Governance<sup>2</sup>



### Portfolio Highlights

- Joseph Wang, Chairman of SINBON, was ranked among the Top 100 CEOs in Taiwan by Harvard Business Review in 2022.
- EC Healthcare chairman Eddy Tang further increased his shareholding in the company.

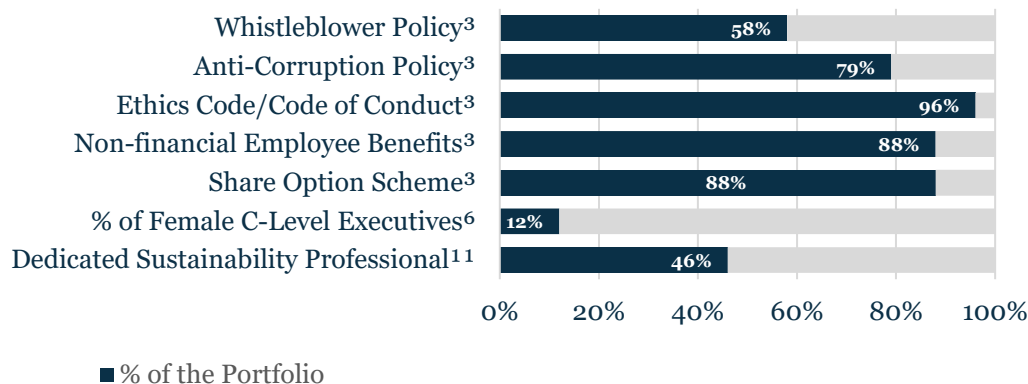
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## Q3 2022 ESG+C<sup>®</sup> Factsheet

### Culture<sup>2</sup>

Glassdoor Portfolio



### Portfolio Highlights

- In 2022, SINBON Electronics reorganised its Corporate Social Responsibility Committee, adding a Chief Sustainability Officer to lead the Sustainability Development Office.
- In July 2022, Persistent Systems appointed a Head of ESG to drive their ESG efforts after publishing a sustainability report for the first time.
- TOTVS extended paternity leave and expanded benefits from 20 to 40 days.

### Top 10 Mobius Investment Trust Portfolio Companies\*

Company Name	Country	Industry	(%) of Portfolio	Market Cap (GBP m)
1 EPAM Systems	US	Technology	9.2%	18,668
2 EC Healthcare	China	Health Care	6.0%	659
3 APL Apollo	India	Industrials	6.0%	2,868
4 TOTVS	Brazil	Technology	5.8%	3,008
5 Safaricom	Kenya	Communications	5.6%	7,437
6 Persistent Systems	India	Technology	5.4%	2,734
7 Vinamilk	Vietnam	Consumer Staples	5.3%	5,690
8 SINBON Electronics	Taiwan	Technology	4.5%	1,766
9 eMemory Technology	Taiwan	Technology	4.3%	2,468
10 LEENO Industrial	South Korea	Technology	3.9%	1,186
			<b>56.2%</b>	

\*30.09.2022

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## Q3 2022 ESG+C<sup>®</sup> Factsheet

### Footnotes:

1. The engagement breakdown is for Q3 2022 and is based on 161 engagements in the fields of environment, social, governance, culture and operations and capital allocation issues.
2. As part of the ESG+C<sup>®</sup> assessment, four different dimensions are assessed: environmental: entails factors like climate change, natural resources, pollution & waste as well as environmental opportunities; social: refers to factors such as human capital, product liability and social opportunities; governance: refers to the reporting methods, the ownership structure as well as checks and balances; culture: contains factors like the degree of freedom, equality, recruitment, innovation and remuneration.
3. Portfolio companies are assessed on a binary basis whether they do or do not comply with the assessed metric. The number of companies complying with the metric is expressed as a percentage of the portfolio.
4. External CDP Score: The Carbon Disclosure Project increases environmental transparency and accountability of companies and enables progress tracking. The scoring ranges from A, A- to B, B- to C, C- to D, D- and F.
5. Sustainable Development Goals: Out of the 13 portfolio companies reporting on the united nations sustainable development goals, the top 3 goals are selected.
6. The determined company percentages are weighted according to their weight in the portfolio. The weighted average is reported as a percentage of the portfolio.
7. Founder-/Family-owned Companies: Reports the percentage of portfolio companies with the family or founder holding 5% or more.
8. Blockinvestor: Refers to an individual or organization owning a substantial amount of the company's shares. The hurdle rate is set at 15%.
9. Dedicated Investor Relations Professional: Reports companies as a percentage of the portfolio having appointed an employee responsible for investor relations.
10. External Glassdoor Score: Glassdoor is one of the world's largest job and recruiting sites providing scores for companies ranging from 0 to 5. The Portfolio score is the average of 22 available scores among the portfolio.
11. Dedicated Sustainability Professional: Reports companies as a percentage of the portfolio having a dedicated employee in charge of the company's sustainability activities.

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